

4-1-02

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:
FROMMER LAWRENCE & HAUG LLP
745 FIFTH AVENUE
NEW YORK, NEW YORK 10151
TEL: (212) 588-0800
FAX: (212) 588-0500

02/26/02
1040 U.S. PTO

10/085659
02/26/02

Date: February 26, 2002
Attorney Docket No.: 450100-03743

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office
of an application for patent in the name(s) of:

Tomohiro NISHI, Michihiro OHNISHI

entitled:

OPTICAL STATE MODULATION METHOD AND SYSTEM, AND OPTICAL STATE MODULATION
APPARATUS

The following are enclosed:

- X Specification (47 pages)
X 12 Sheet(s) of Drawings
X 23 Claim(s) (including 15 independent claim(s))
This application contains a multiple dependent claim

- X Our check for \$ 1802.00, calculated on the basis of the claims as
amended by any enclosed preliminary amendment as follows:

Basic Fee, \$740.00 (\$370.00) \$ 740.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each: 3 54.00
Number of Independent Claims in excess of 3 at \$84.00 (\$42.00) each: 12 1008.00
Multiple Dependent Claim Fee at \$280.00 (\$140.00) -0-
Total Filing Fee \$ 1802.00
Assignment Recording Fee \$40.00 -0-

- X Oath or Declaration and Power of Attorney
X New signed X unsigned
Copy from a prior application (37 C.F.R. 1.63(d))

- X Certified copy of each of the following application(s) to substantiate
the claim(s) for priority made in the Declaration:

Application No. Filed In
2001-283180 18 September 2001 Japan

Please charge any additional fees required for the filing of this
application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: William S. Frommer
Reg. No. 25,506

10085659-022602

FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE NEW YORK, NEW YORK 10151

February 26, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application
Applicants: Tomohiro NISHI, Michihiro OHNISHI
Our Ref.: 450100-03743

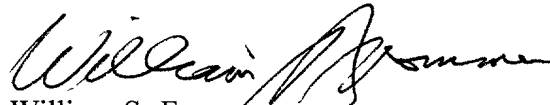
Dear Sir:

Enclosed are papers constituting the above patent application which is being filed under 37 C.F.R. 1.53 without a signed Declaration. Please accord a filing date and a serial number to such application and inform the undersigned thereof so that a signed Declaration and the surcharge required by 37 C.F.R. 1.16(e) may be duly filed.

Please address all correspondence to:

William S. Frommer, Esq.
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

Respectfully,



William S. Frommer
Reg. No. 25,506
Attorney for Applicants
Enclosures

WILLIAM S. FROMMER
WILLIAM F. LAWRENCE
EDGAR H. HAUG
MATTHEW K. RYAN
BARRY S. WHITE
THOMAS J. KOWALSKI
JOHN R. LANE
DENNIS M. SMID*
DANIEL G. BROWN
BARBARA Z. MORRISSEY
STEVEN M. AMUNDSON
MARILYN MATTHES BROGAN
JAMES K. STRONSKI
CHARLES J. RAUBICHEK
GRACE L. PAN*
GORDON KESSLER
MARK W. RUSSELL*
JEFFREY A. HOVDEN
RONALD R. SANTUCCI
RICHARD E. PARKE
ANDREW M. BERDON
A. THOMAS S. SAFFORD
JEROME ROSENSTOCK
RAYMOND R. WITTEKIND, PH.D.
PORTER F. FLEMING
Of Counsel

BRUNO POLITO
JOE H. SHALLENBURGER
CHRISTIAN M. SMOLIZZA
GLENN F. SAVIT
ROBERT E. COLLETTI
DEXTER T. CHANG
DEENA P. LEVY
DARREN M. SIMON
JOHN G. TAYLOR
DAVID A. ZWALLY
SAMUEL H. MEGERDITCHIAN
KEVIN MURPHY
TERRI-LEE YOUNG
PEARL TENG LING SIEW
RICHARD A. MASTROCOLA
DAMON A. TREITLER
TEDD W. VAN BUSKIRK
STEPHEN J. LIEB

*Admitted to a Bar
other than New York

"Express Mail" mailing label number EV073701242US

Date of Deposit February 26, 2002

I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Charles Jackson

(Typed or printed name of person mailing paper or fee)

Charles Jackson

(Signature of person mailing paper or fee)

10085659-021602
200220-6558007